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Substitute for PTO/SB/21 (07/06)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after Initial filing)	Application Number	10/770,711	
	Filing Date	Feb 2, 2004	
	First Named Inventor	Paul J. Steffan	
	Group Art Unit	2825	
	Examiner Name	Magid Y. Dimyan	
Total Number of Pages in This Submission	14	Attorney Docket Number	H0897

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks:		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	William D. Zahrt II
Signature	<i>William D. Zahrt II</i>
Date	October 2, 2006

## CERTIFICATE OF MAILING OR TRANSMISSION

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Docket No.: H0897

OCT 02 2006

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<i>In re</i> Application of:	Paul J. Steffan	:	Confirmation No.:	2278
Serial No.:	10/770,711	:	Art Unit:	2825
Filed:	2/2/2004	:	Examiner:	Magid Y. Dimyan
For:	PREDICTING DEFECT FUTURE EFFECTS IN INTEGRATED CIRCUIT TECHNOLOGY DEVELOPMENT TO FACILITATE SEMICONDUCTOR WAFER LOT DISPOSITION			

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**AMENDMENT AFTER FINAL REJECTION  
UNDER 37 C.F.R. §1.116**

Sir/Madam:

The following Amendment and Remarks are submitted under 37 C.F.R. §1.116 in response to the Office Action mailed July 31, 2006, following the amendment format set forth under 37 CFR §1.121. After this introductory section, there are Amendments to the Claims and then Remarks, each starting on a separate page.

Amendments to the Claims consist of amendments for claims 1–20, which are incorporated in a complete listing of the claims.

Reconsideration of the objections and rejections is respectfully requested.